

Opportunities for inclusive diffraction at EIC

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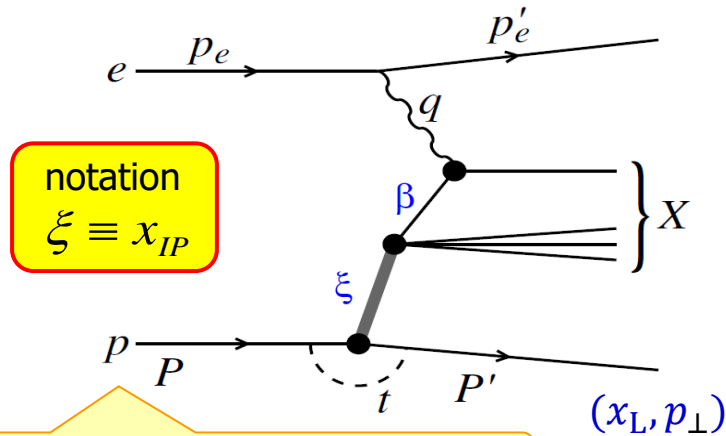
- Diffractive DIS model and data simulation
- Fits and DPDFs determination vs. HERA
- $F_L^{D(3)}$ measurement
- $\sigma_{\text{red}}^{D(4)}$ and the subleading contribution study

EIC Yellow Report, 2103.05419

Armesto, Newman, Słomiński, Staśto 1901.09076

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Inclusive diffractive DIS – a résumé



Proton enters along the z axis.

$$\xi \equiv x_{IP} = \frac{(P - P') \cdot q}{P \cdot q} = \frac{Q^2 + M_X^2 - t}{Q^2 + W^2 - m_p^2}$$

$$\beta = \frac{Q^2}{2(P - P') \cdot q} = \frac{Q^2}{Q^2 + M_X^2 - t}$$

$$x = \xi\beta$$

Outgoing proton leaves the interaction region intact, and in a very forward direction. Its momentum is given in terms of (x_L, \vec{p}_\perp) with $P'_+ = x_L P_+$.

Cross section • reduced cross section • diffractive structure functions

$$\frac{d\sigma}{d\beta dQ^2 d\xi dt} = \frac{2\pi\alpha^2}{\beta Q^4} [1 + (1 - y)^2] \sigma_{\text{red}}^{D(4)}(\xi, t, \beta, Q^2)$$

$$\sigma_{\text{red}}^D = F_2^D - \frac{y^2}{1 + (1 - y)^2} F_L^D$$

$$\text{dim} = \text{GeV}^{-2}$$

Upon integration over t

$$\sigma_{\text{red}}^{D(3)}, F_{2,L}^{D(3)}$$

become dimensionless

Two component model for diffractive SFs (as used in the HERA fits)

Regge factorization works at low ξ (< 0.01).

At higher ξ , subleading exchanges (reggeons/mesons) enter the game – they are all parametrized by a single additional “Reggeon” term

This works within the HERA data accuracy

$$F^{D(4)}(\xi, t, z, Q^2) = \varphi_{\mathbf{P}}(\xi, t) F^{\mathbf{P}}(z, Q^2) + \varphi_{\mathbf{R}}(\xi, t) F^{\mathbf{R}}(z, Q^2)$$

$\varphi_{\mathbf{P},\mathbf{R}}$ = Regge-type flux:

$$\varphi(\xi, t) = \xi^{1-2\alpha(t)} e^{Bt} \text{ with } \alpha(t) = \alpha_0 + \alpha' t$$

Collinear factorization:

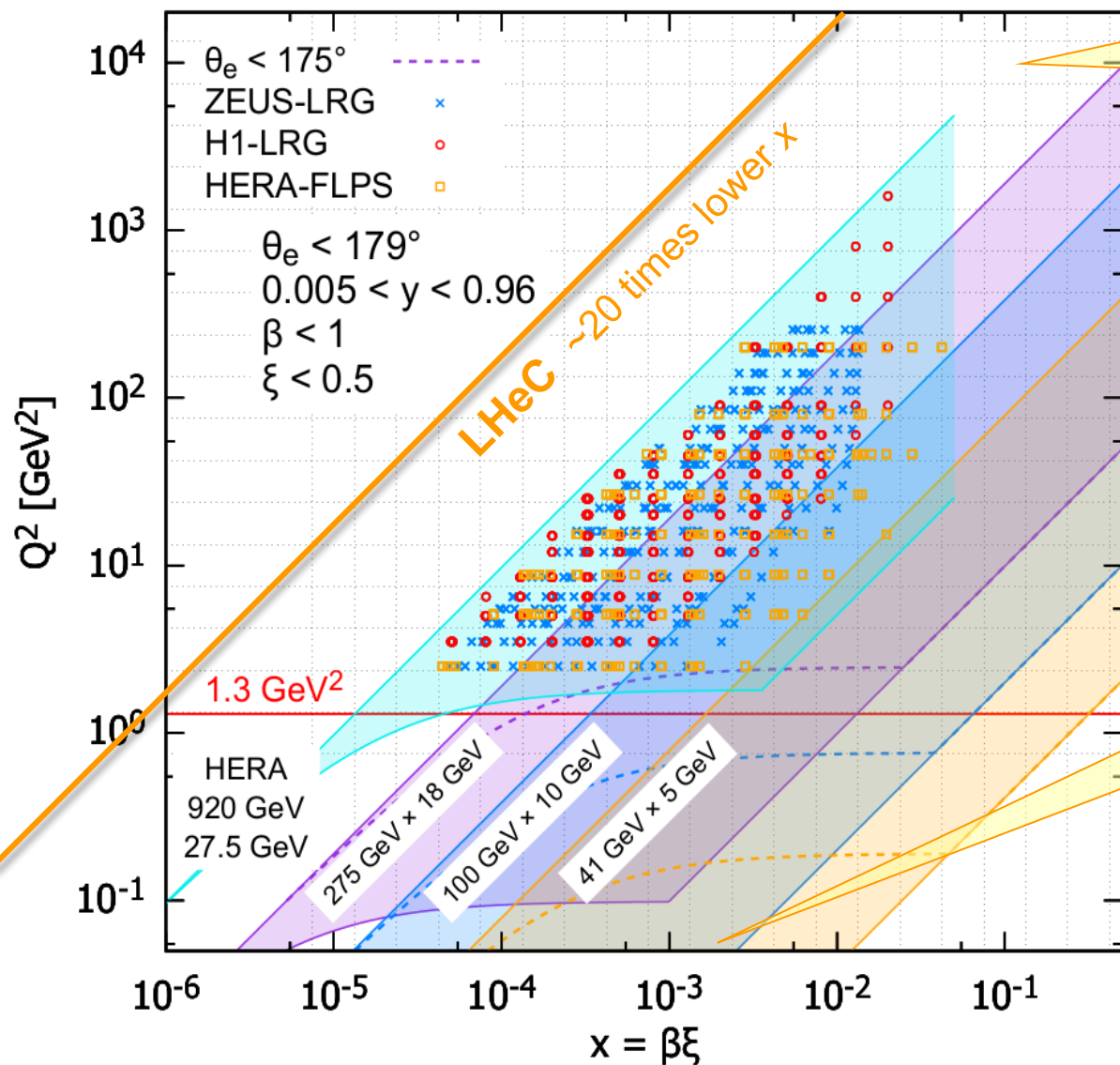
$$F_{2/L}^{\mathbf{P}}(\beta, Q^2) = \sum_k \int_{\beta}^1 \frac{dz}{z} C_{2/L, k} \left(\frac{\beta}{z} \right) f_k^{\mathbf{P}}(z, Q^2)$$

Pomeron PDFs obtained via NLO DGLAP evolution starting at $\mu_0^2 = 1.8 \text{ GeV}^2$ with:

$$f_k^{\mathbf{P}}(z, \mu_0^2) = A_k z^{B_k} (1-z)^{C_k}, \quad k = g, q$$

$$q = d = u = s$$

x, Q^2 range — EIC, HERA, LHeC



The grid shows binning of 4 bins per order of magnitude in each β, Q^2, ξ

New high x region

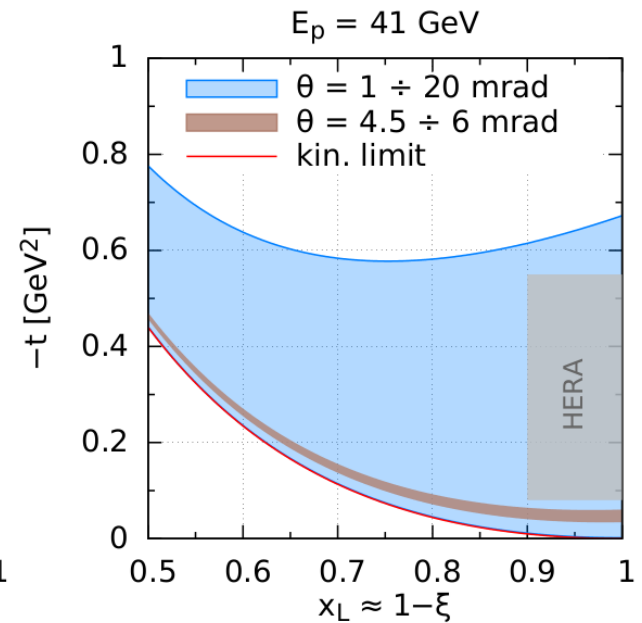
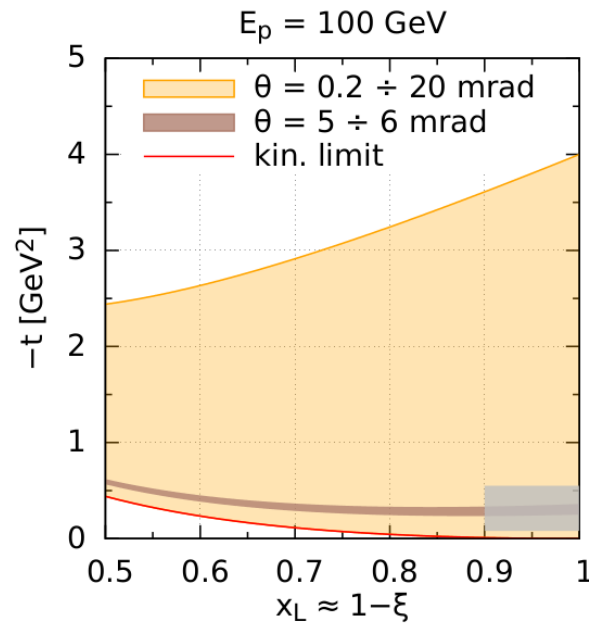
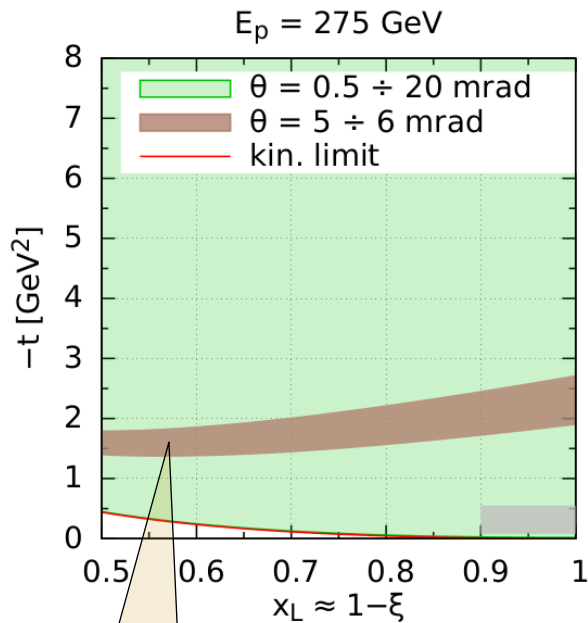
x range gets shifted up by a factor ~ 5 when going from HERA through the EIC beam settings: 18×275 , 10×100 , 5×41 GeV.

Detailed binning [100x10](#) [275x18](#)

Final proton tagging – (x_L, t) plane

- Very important improvement wrt. HERA
- Cleanest way to select diffractive events

Roman pots	0.5 – 5.0 mrad at 275 GeV
	0.2 – 5.0 mrad at 100 GeV
	1.0 – 4.5 mrad at 41 GeV
B0	6 – 20 mrad



dead zone
~5 – 6 mrad hole
in the EIC detector

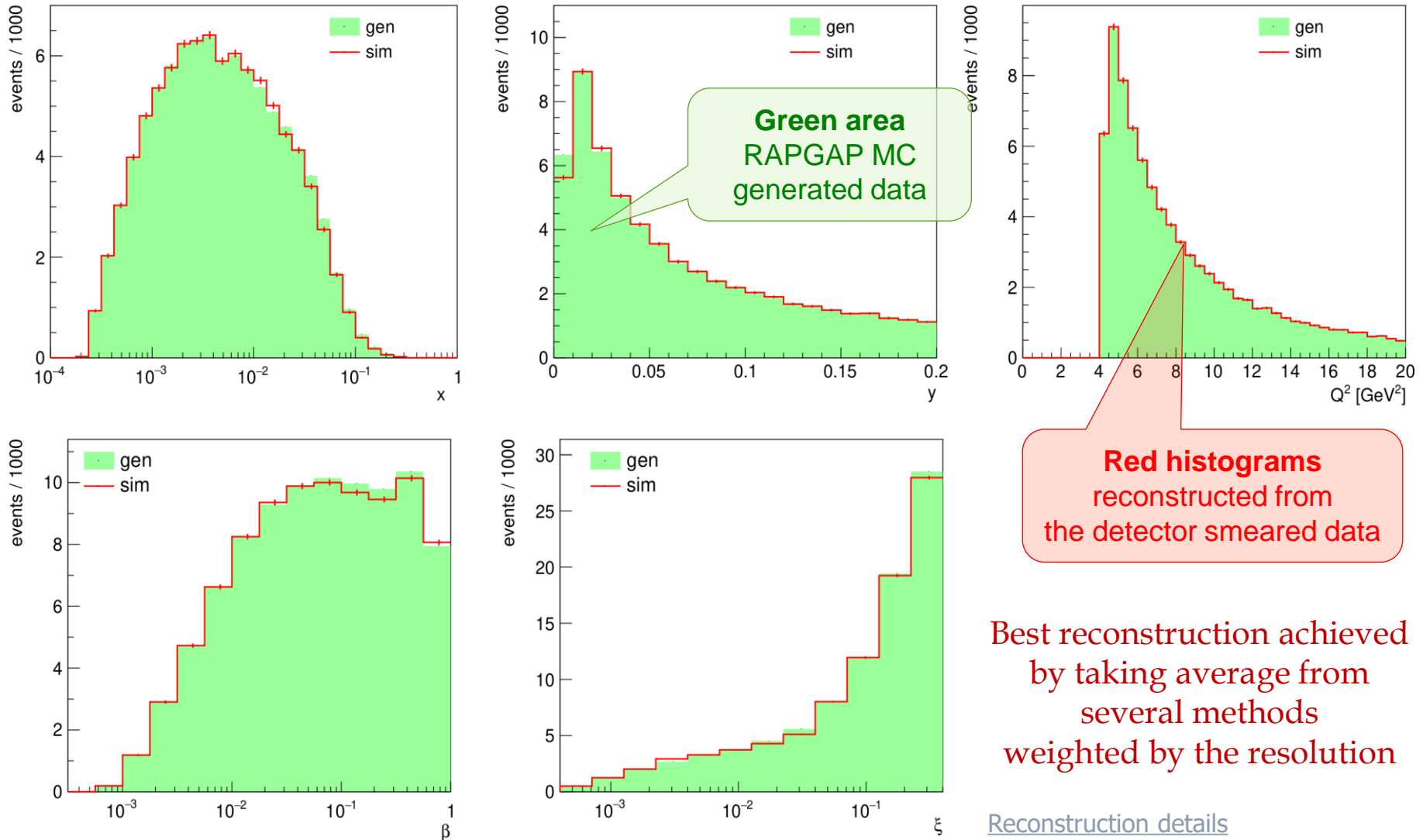
$$t = -\frac{p_{\perp}^2}{x_L} - \frac{(1 - x_L)^2}{x_L} m_p^2$$

x_L, p_{\perp}, θ measured in
LAB = collinear(e, p) frame

DDIS kinematical variables resolution (detector simulations)

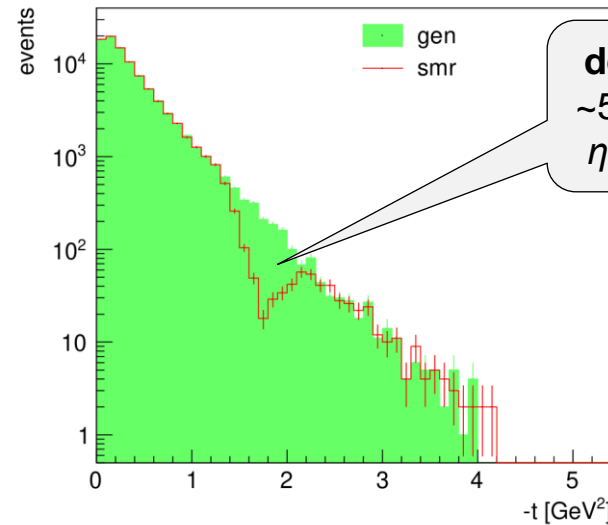
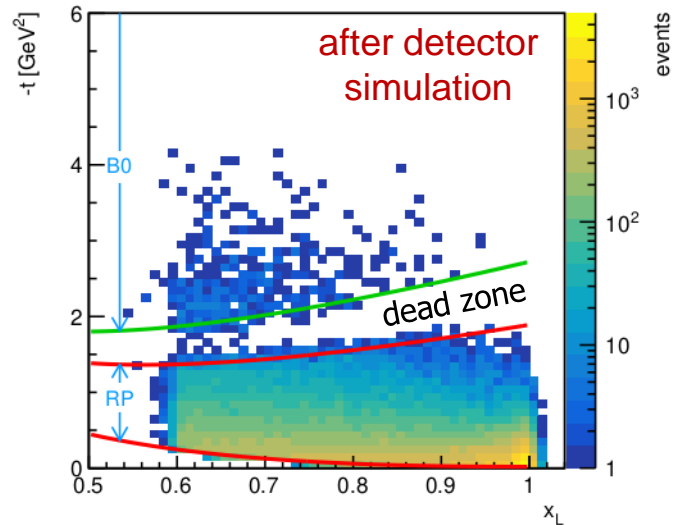
Very good resolution for all variables related to $\sigma^{D(3)}$

$E_e = 18 \text{ GeV}$
 $E_p = 275 \text{ GeV}$

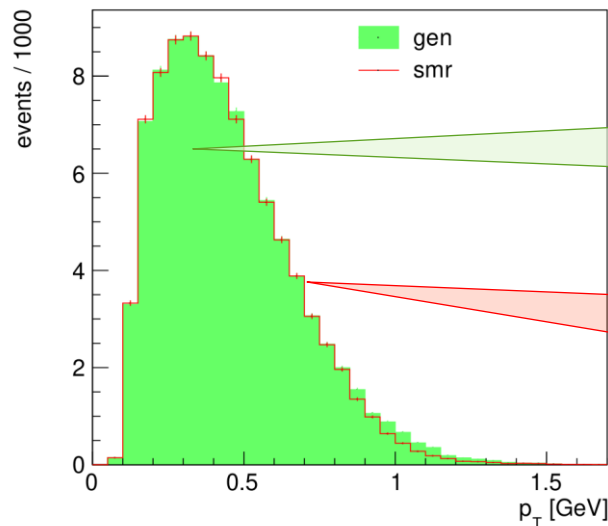
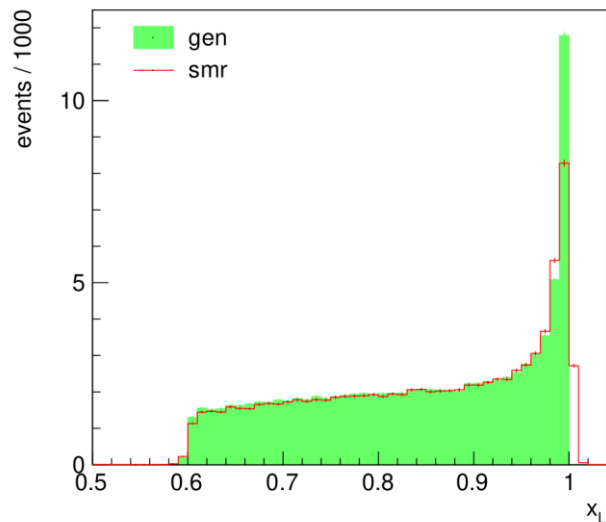


Resolution and acceptance for t, x_L, p_\perp

$$E_e = 18 \text{ GeV}, E_p = 275 \text{ GeV}$$



- Detector design still not absolutely fixed.
- Complementary detector under investigation.



Green area
RAPGAP MC
generated data

Red histograms
reconstructed from the
detector smeared data

Diffractive PDFs from fits to the $\sigma_{\text{red}}^{D(3)}(\xi, \beta, Q^2)$ data

- Pseudo-data generation

- Binning:
 - 4 bins per order of magnitude in each β, Q^2, ξ ;
 - Very good acceptance and purity
- Simulations:
 - Extrapolation from ZEUS-SJ DPDFs
 - Random smearing according to
 $\delta_{\text{sys}} = 5\%$ and δ_{stat} from 10 fb^{-1} integrated luminosity;
errors are dominated by systematics
- Several random samples generated

- DPDFs fits to $\sigma_{\text{red}}^{D(3)}$

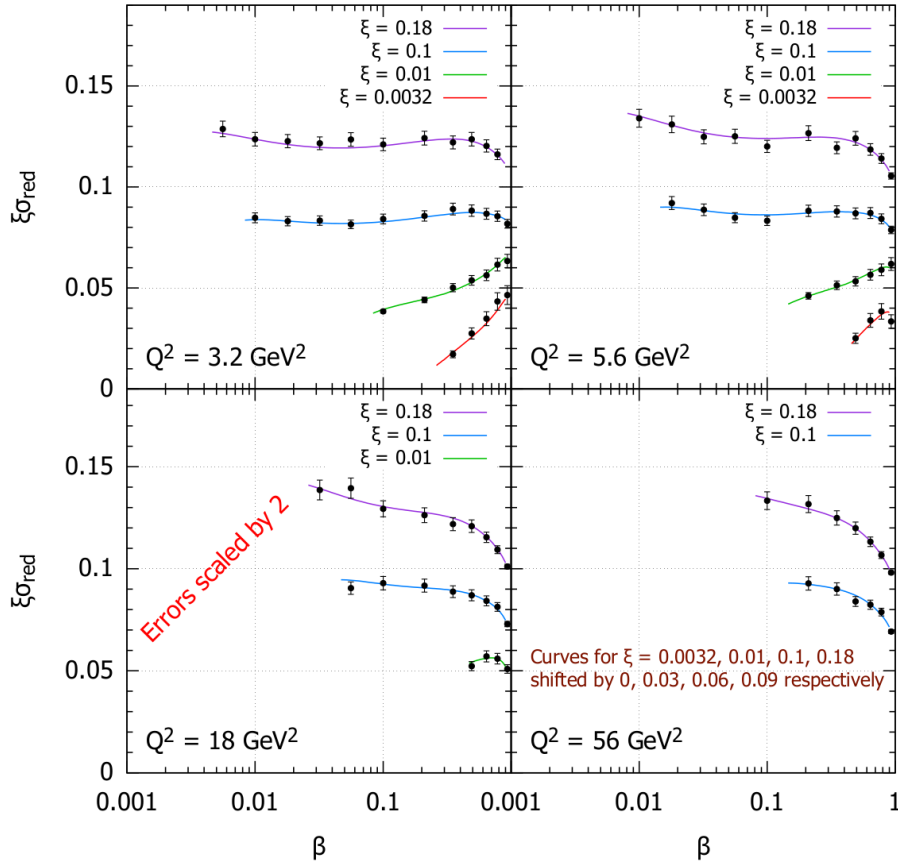
- Out of all 13 parameters:

$$f_g^{\text{P}}(z, \mu_0^2) = A_g z^{B_g} (1 - z)^{C_g}$$

- 4, $B_{P/R}, \alpha'_{P/R}$ are fixed from other measurements, e.g. $\sigma_{\text{red}}^{D(4)}$
- 9 remain to be fitted \rightarrow Standard fit — **Fit S**
- Option: constant gluon at μ_0^2 , i.e. $B_g = C_g \equiv 0 \rightarrow$ **Fit C** with 7 parameters

$\sigma_{\text{red}}^{D(3)}$ pseudo-data examples

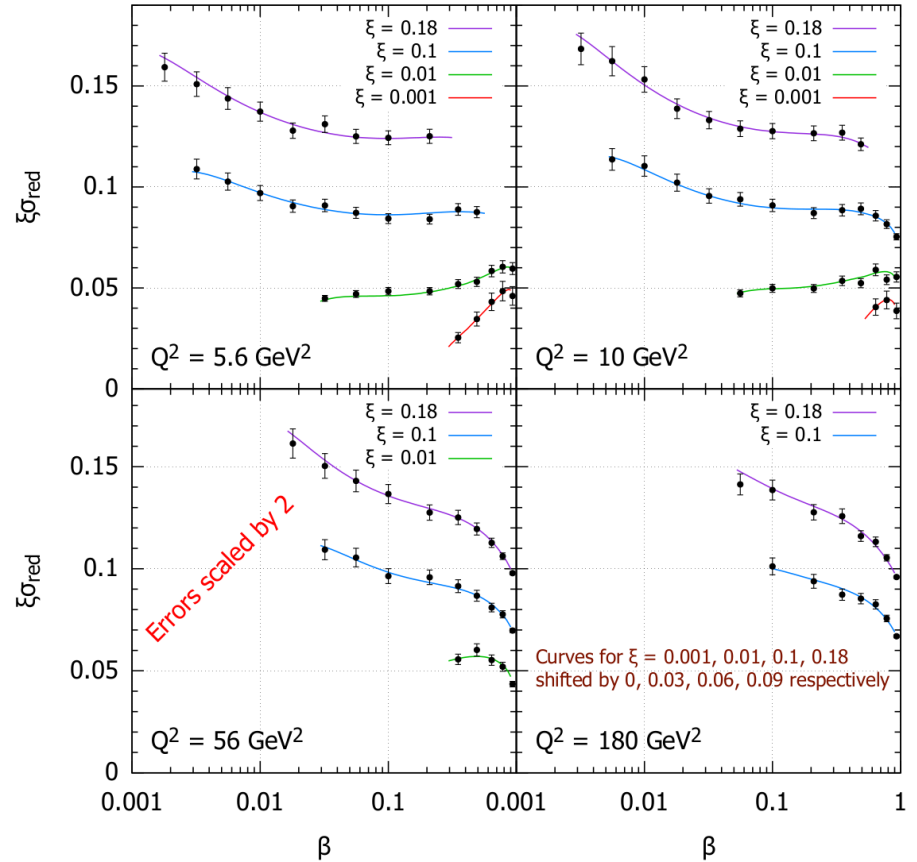
e p $E_p = 100 \text{ GeV}$, $E_e = 10 \text{ GeV}$, $L = 10 \text{ fb}^{-1}$, $\delta_{\text{sys}} = 5\%$



In total:

482 points for $1.3 < Q^2 < 1330 \text{ GeV}^2$

e p $E_p = 275 \text{ GeV}$, $E_e = 18 \text{ GeV}$, $L = 10 \text{ fb}^{-1}$, $\delta_{\text{sys}} = 5\%$



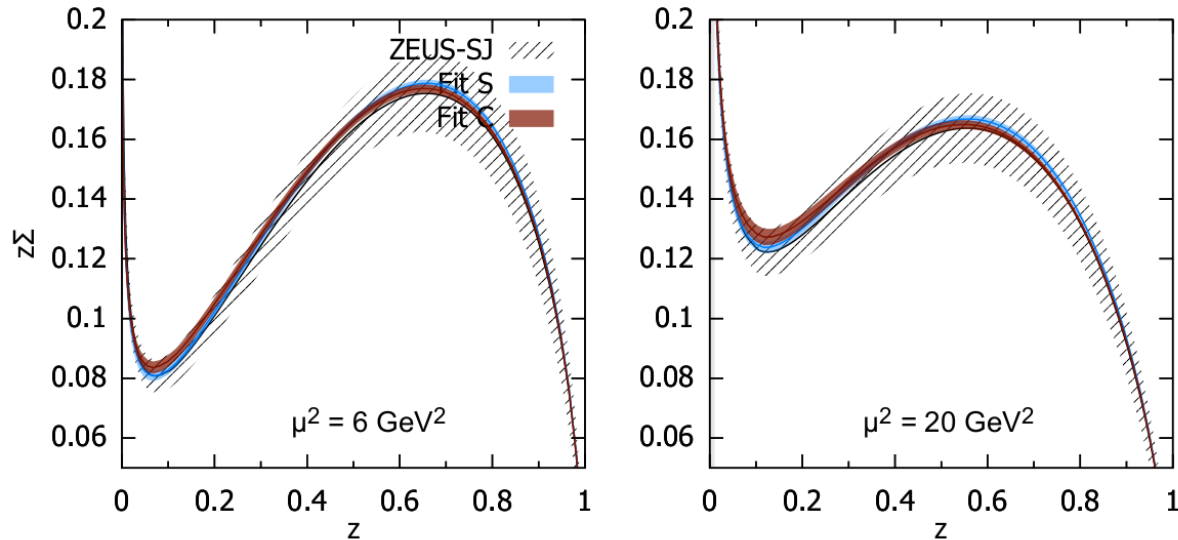
In total:

792 points for $1.3 < Q^2 < 4220 \text{ GeV}^2$

Very precise data

Quark and gluon DPDFs from fits to 18 GeV × 275 GeV data

quark

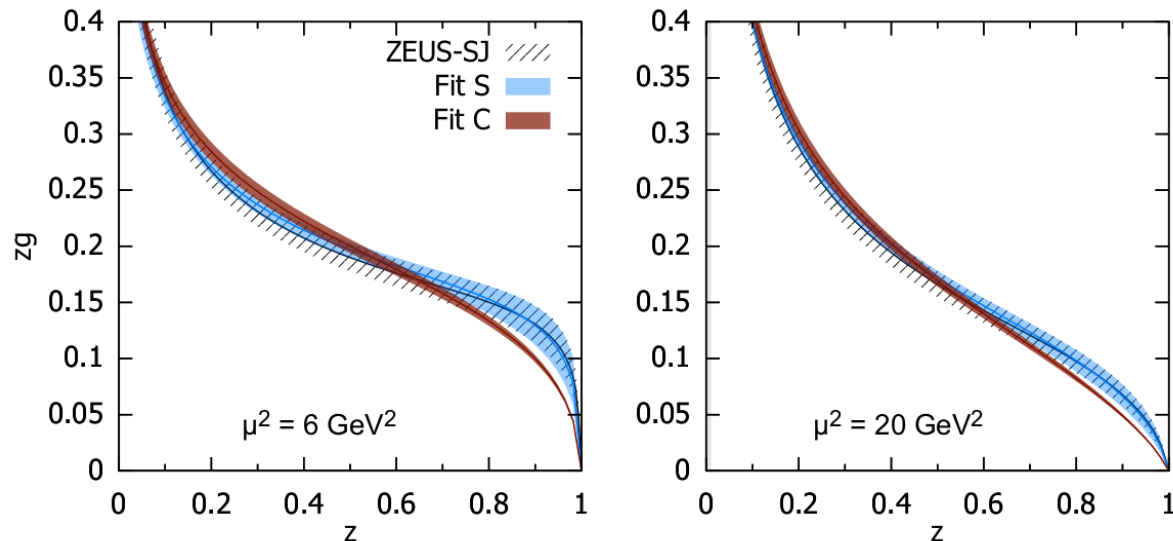


Data selection

$Q^2 > 5 \text{ GeV}^2$, $\xi < 0.1$
375 data points

- Fit S: 9 parameters,
- Fit C: 7 parameters:
 $B_g = C_g \equiv 0$

gluon



As compared to HERA

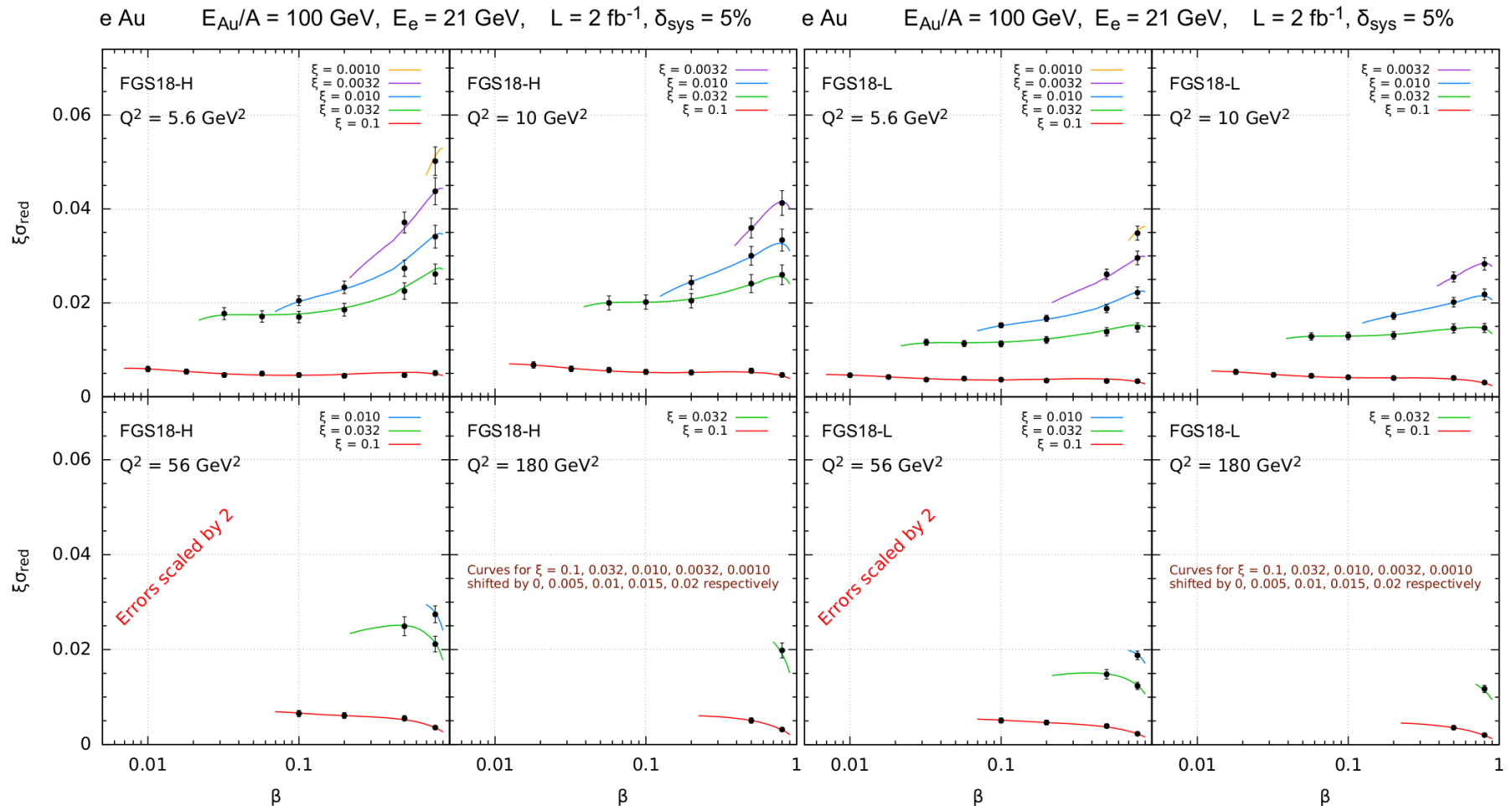
- Much smaller uncertainty for the quark DPDF at high z 😊
- No improvement for gluon 😞
 - Both S and C fits give $\chi^2 \approx 1$
 - Another, gluon-sensitive process needed, e.g. dijet production, dominated by BGF

Inclusive diffraction on nuclei — simulations for gold

Nuclear shadowing & diffraction are related

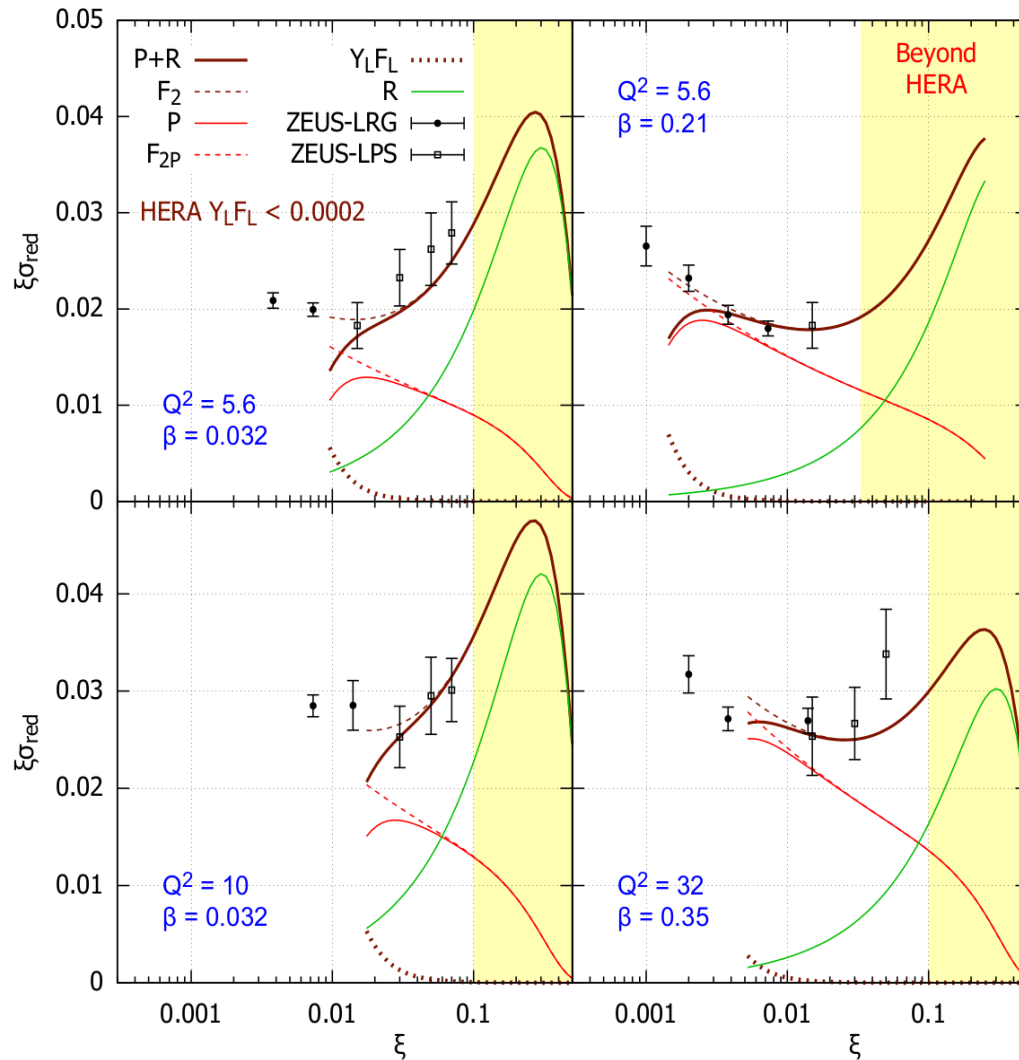
Nuclear modification factors from the Frankfurt-Guzey-Strikman model, [Phys. Rep. 512, 255 \(2012\)](#)
Two scenarios for high (H) and low (L) shadowing considered.

- High accuracy
- No model to fit



Extrapolation — Pomeron, Reggeon, F_2 , F_L components of $\sigma_{\text{red}}^{D(3)}$

P, R, F_2 , F_L contributions to σ_{red} for 275×18 GeV



$E_e = 18 \text{ GeV}, E_p = 275 \text{ GeV}$

- Pomeron dominates at low ξ ,
— especially at higher β
- “Reggeon” contribution grows with ξ
- Dominates for $\xi > 0.1$
- High ξ region accessible by the
final proton tagging at EIC

$$\sigma_{\text{red}} = F_2 - \frac{y^2}{1 + (1 - y)^2} F_L$$

- Significant F_L component,
~30 times higher than at HERA
due to **higher y values**

$F_L^{D(3)}$ investigation

- ❑ 18 beam setups used for the simulations:

$$E_e \times E_p = \{5, 10, 18\} \times \{41, 100, 120, 165, 180, 275\} \text{ GeV}$$

Challenging
but not impossible

- ❑ $\delta_{\text{sys}} = 2\%$ and δ_{stat} from 10 fb^{-1} integrated luminosity

- ❑ 469 bins in (ξ, β, Q^2) selected such that

- ❑ contain at least four σ_{red} data points

- ❑ $Q^2 > 3 \text{ GeV}^2$,

- ❑ $M_X > 2 \text{ GeV}$.

- ❑ F_2, F_L obtained from fits to σ_{red} vs. Y_L :

$$\sigma_{\text{red}} = F_2(\xi, \beta, Q^2) - Y_L F_L(\xi, \beta, Q^2)$$

separately in each (ξ, β, Q^2) bin

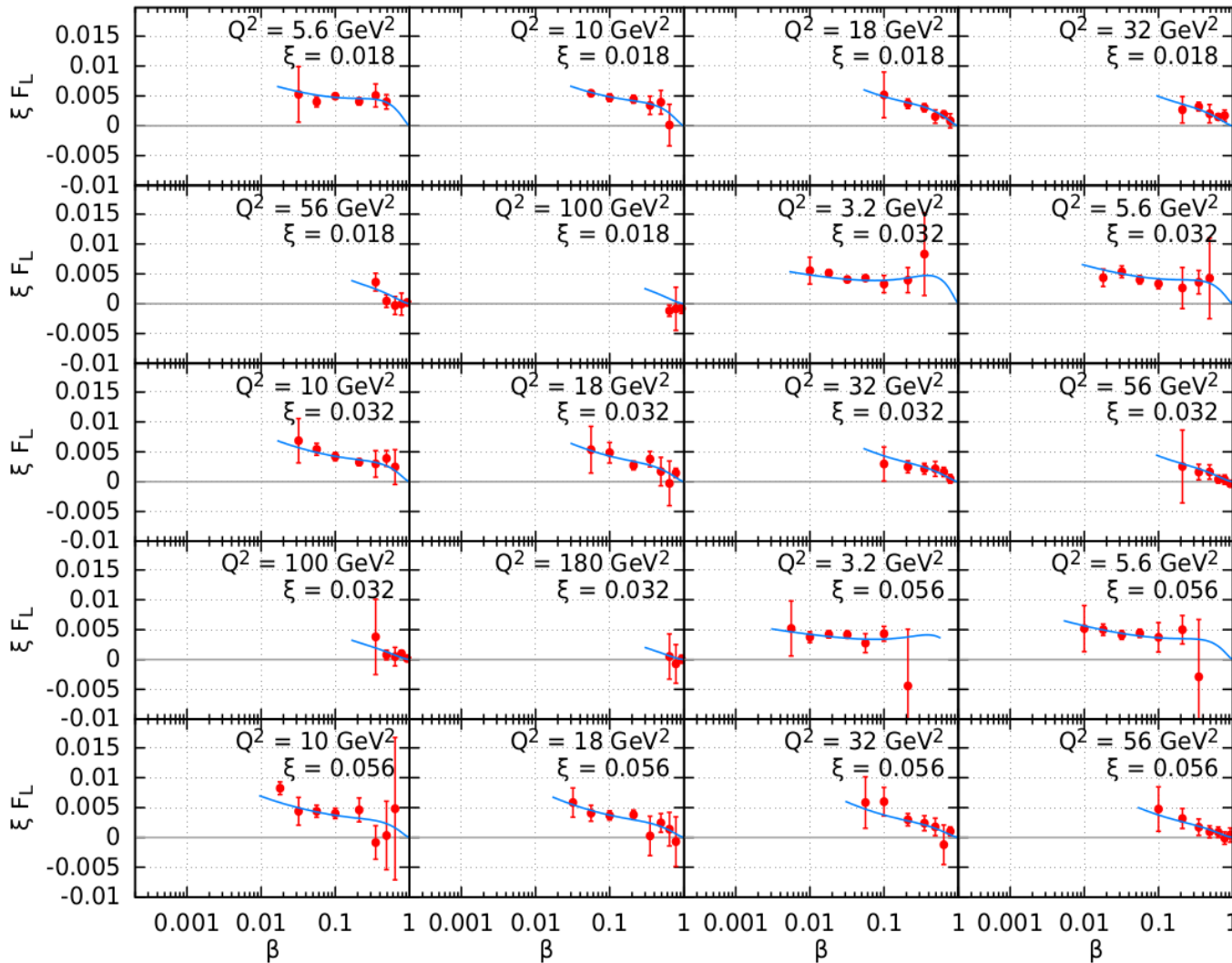
$$Y_L = \frac{y^2}{1 + (1 - y)^2}$$

$$y = \frac{Q^2}{\xi \beta s}$$

Simulated measurement of $F_L^{D(3)}$ vs. β in bins of (ξ, Q^2)

Example results of F_L fitted to $\sigma_{\text{red}} = F_2 - Y_L(y) F_L$

76 bins in (ξ, Q^2)



20 bins shown

F_L fit —●—

F_L model —

Error bars
correspond to the
90% confidence interval.

A reliable
measurement of
 $F_L^{D(3)}$ within the
reach of EIC

$\sigma_{\text{red}}^{D(4)}$ vs. (ξ, t) simulations

From the ZEUS-SJ fit

$$\xi \varphi_P(\xi, t) \propto \xi^{-0.22} e^{-7|t|}$$

$$\xi \varphi_R(\xi, t) \propto \xi^{0.6+1.8|t|} e^{-2|t|}$$

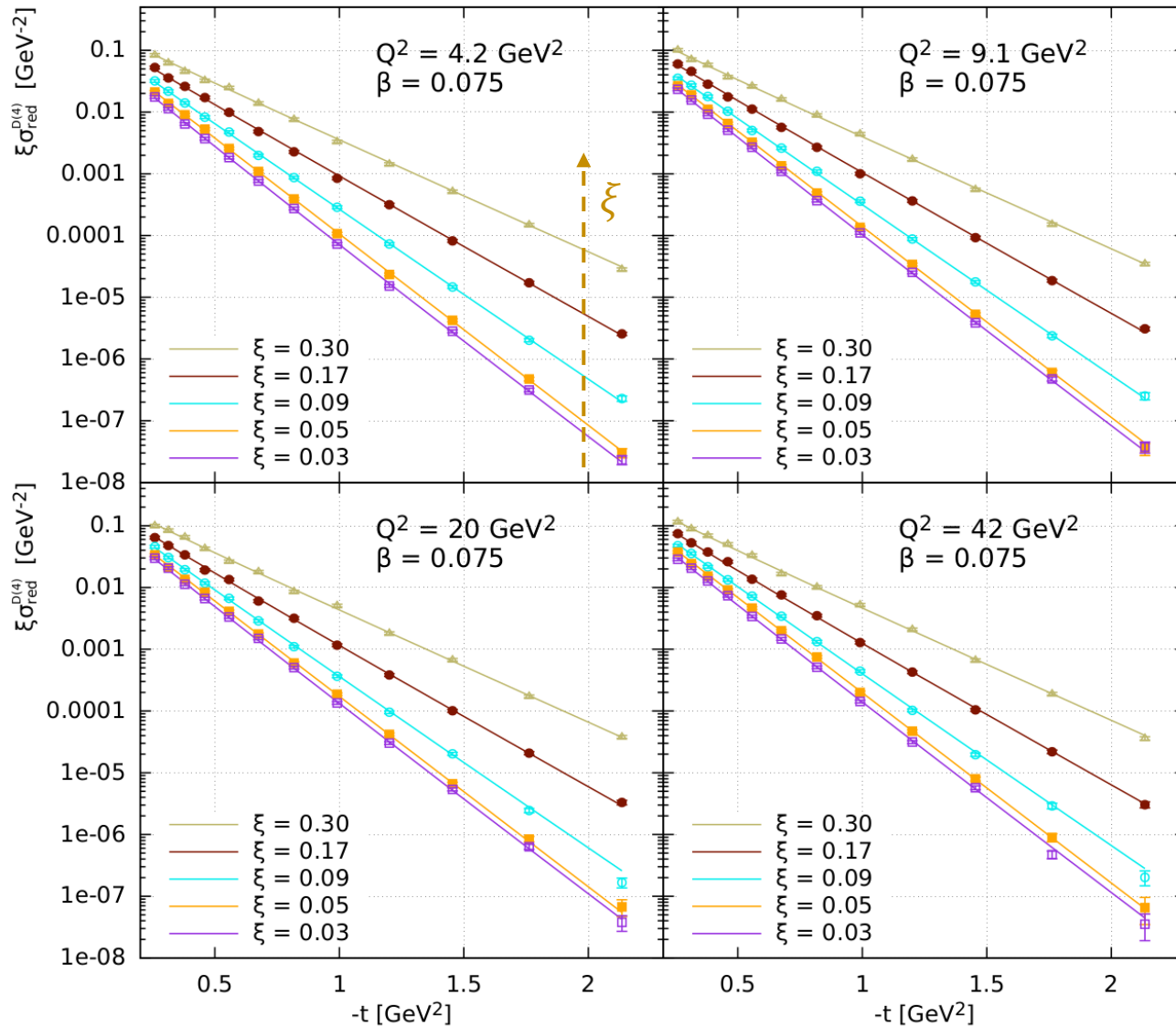
Pomeron and “Reggeon” components have very different shapes in ξ and t

- Extrapolation of $\sigma_{\text{red}}^{D(4)}(\xi, t, \beta, Q^2)$ calculated using ZEUS-SJ DPDFs
- Simulation done by random smearing according to
 - $\delta_{\text{sys}} = 5\%$
 - δ_{stat} from $L = 10 \text{ fb}^{-1}$

Nb. statistical errors increase at large $|t|$

Simulations for $\sigma_{\text{red}}^{D(4)}$ vs. t

$\sigma_{\text{red}}^{D(4)}$ for ep beams 18 GeV \times 275 GeV



$E_e = 18 \text{ GeV}$
 $E_p = 275 \text{ GeV}$

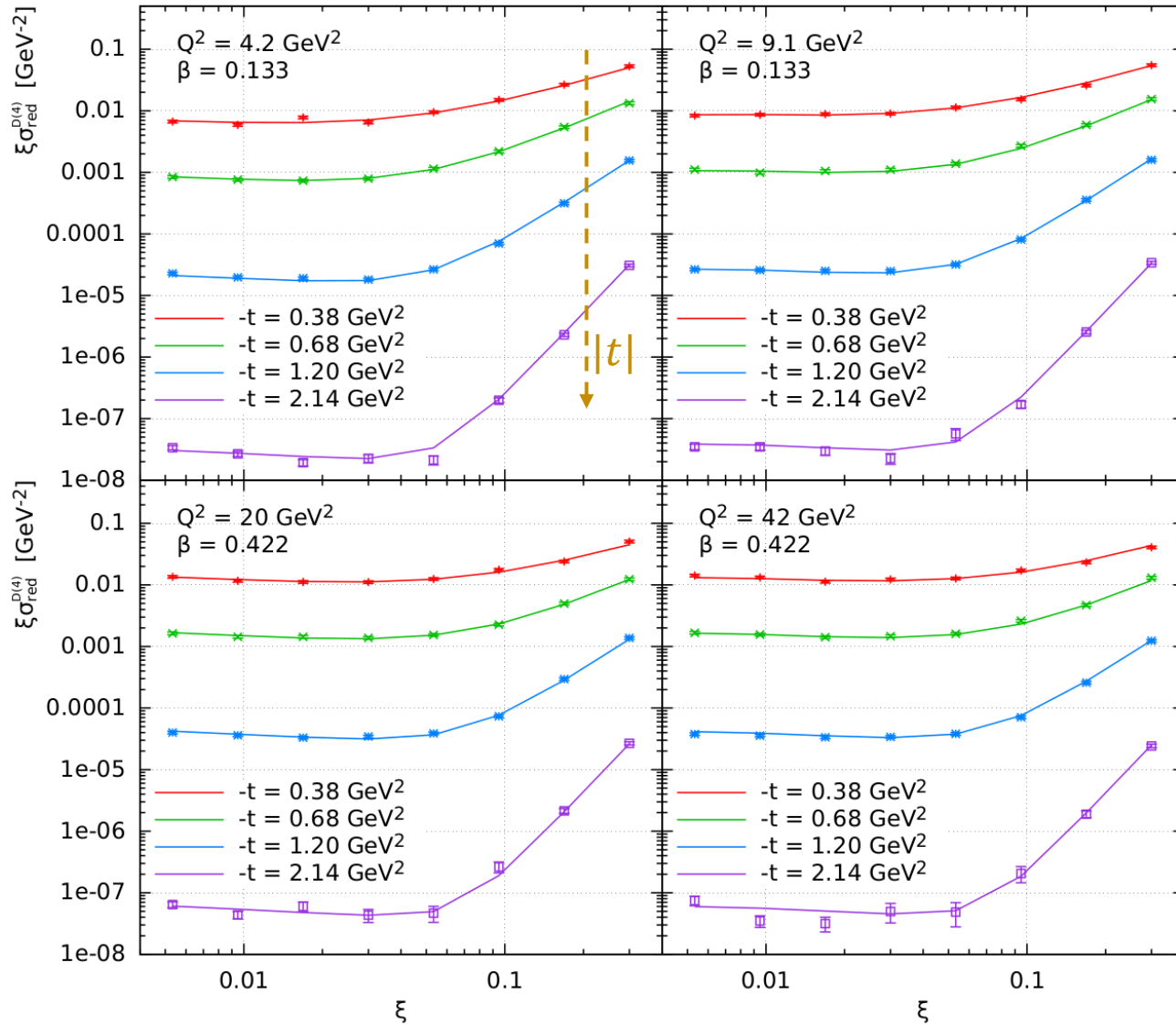
Lines — extrapolation
Points — simulation

$$C_P \xi^{-0.22} e^{-7|t|} + C_R \xi^{0.6+1.8|t|} e^{-2|t|}$$

Very well measurable
 t -slope vs. ξ

Simulations for $\sigma_{\text{red}}^{D(4)}$ vs. ξ

$\sigma_{\text{red}}^{D(4)}$ for ep beams 18 GeV \times 275 GeV



$E_e = 18 \text{ GeV}$
 $E_p = 275 \text{ GeV}$

Lines — extrapolation
Points — simulation

$$C_P \xi^{-0.22} e^{-7|t|} + C_R \xi^{0.6+1.8|t|} e^{-2|t|}$$

Very well measurable
dependence on ξ

Double-slope structure?

Summary

❑ EIC detector capabilities

- ❑ Powerful final proton tagging
- ❑ Very good acceptance and resolution for the diffractive DIS variables

❑ Prospects

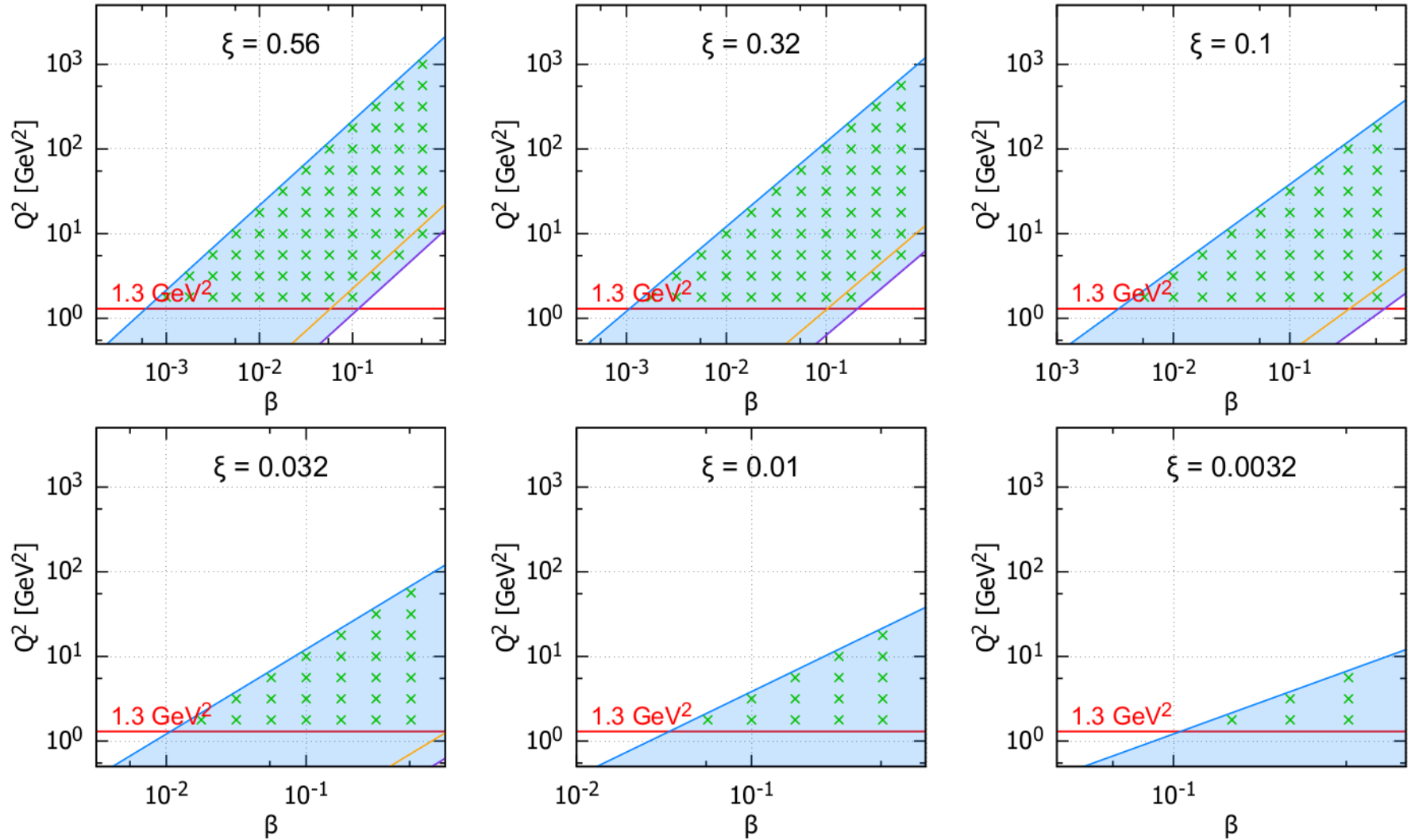
- ❑ Precise e - p and e - A $\sigma_{\text{red}}^{D(3)}$ measurements
- ❑ Pomeron PDFs extraction — high accuracy for quarks at high β
- ❑ $F_L^{D(3)}$ determination in wide range of (ξ, β, Q^2)
- ❑ Precise e - p $\sigma_{\text{red}}^{D(4)}$ vs. (ξ, t) measurement down to $x_L \approx 0.6$
 - ❑ Subleading “Reggeon” contribution
 - ❑ “Leading proton” region

BACKUP

Detailed binning 100 × 10 GeV

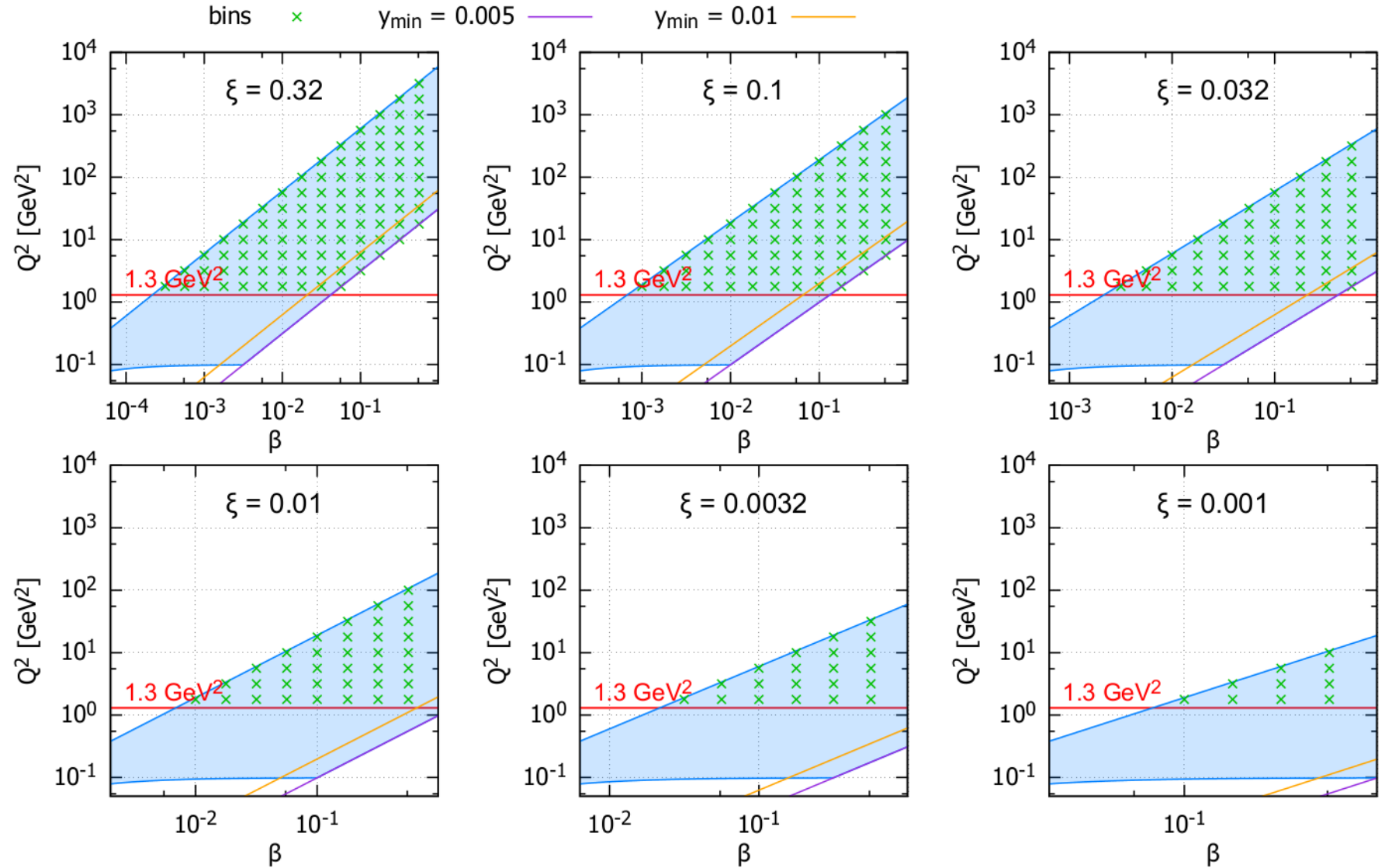
$E_p = 100 \text{ GeV}$, $E_e = 10 \text{ GeV}$, $y_{\max} = 0.96$

bins \times $y_{\min} = 0.005$ — $y_{\min} = 0.01$ —



Detailed binning 275×18 GeV

$E_p = 275$ GeV, $E_e = 18$ GeV, $y_{\max} = 0.96$



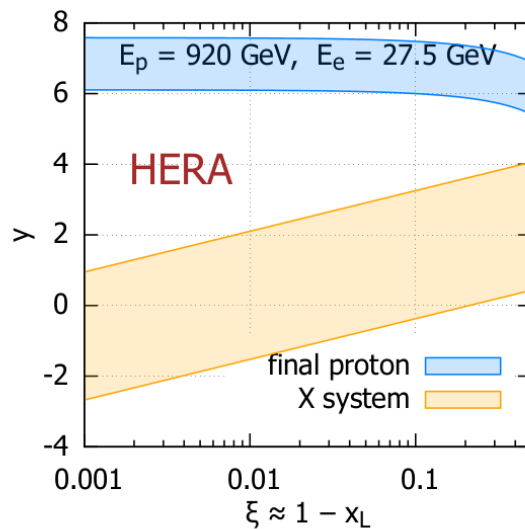
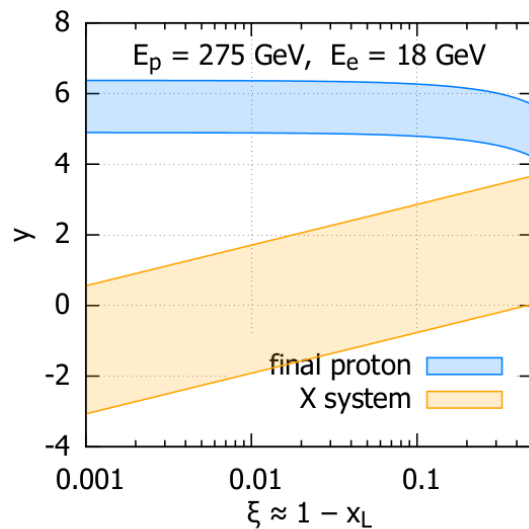
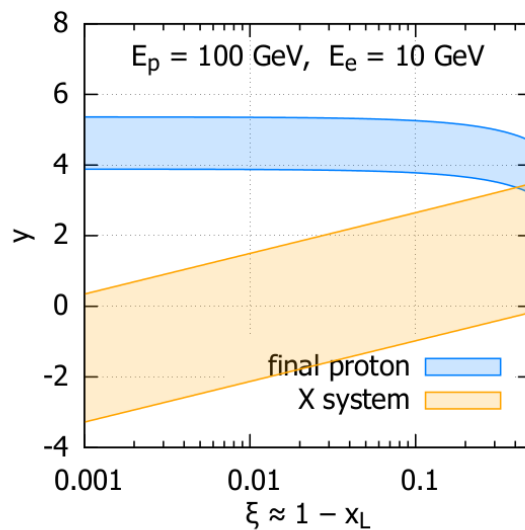
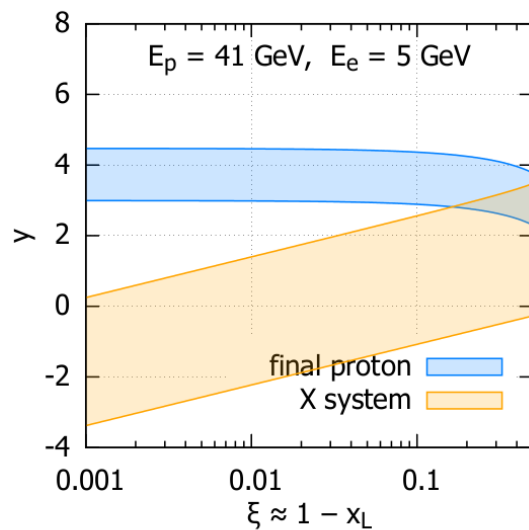
Reconstruction of kinematic variables

Several methods of kinematical reconstruction are used to produce the final values as averages weighted by the experimental resolution.

- Q^2, x, y by weighted average of
 - “Electron” and “Double angle” methods
- Additionally, M_X, β, ξ by weighted average of
 - MX method:
 - $M_X = P_X^2$ (from all EFOs)
 - $\beta = \frac{Q^2}{Q^2 + M_X^2 - t}, \quad \xi = \frac{x}{\beta}$
 - MP method:
 - $\beta = \frac{Q^2}{2(P - P') \cdot q}$
 - $M_X = \frac{1 - \beta}{\beta} Q^2 + t, \quad \xi = \frac{x}{\beta}$

Rapidity gap

Rapidity range for $y_e = 0.005 \div 0.96$, $p_T = 0 \div 4$ GeV

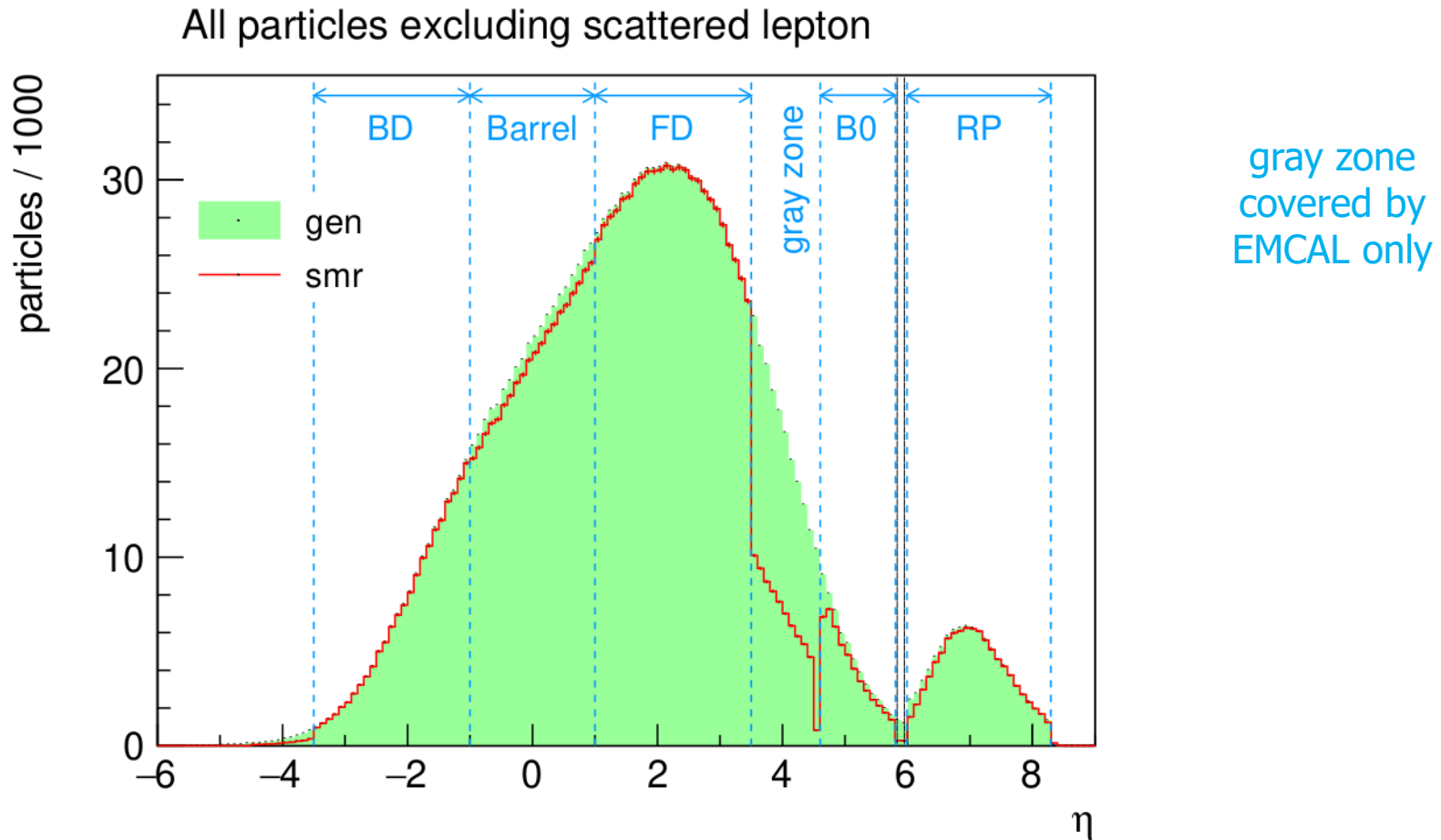


Rapidity gap

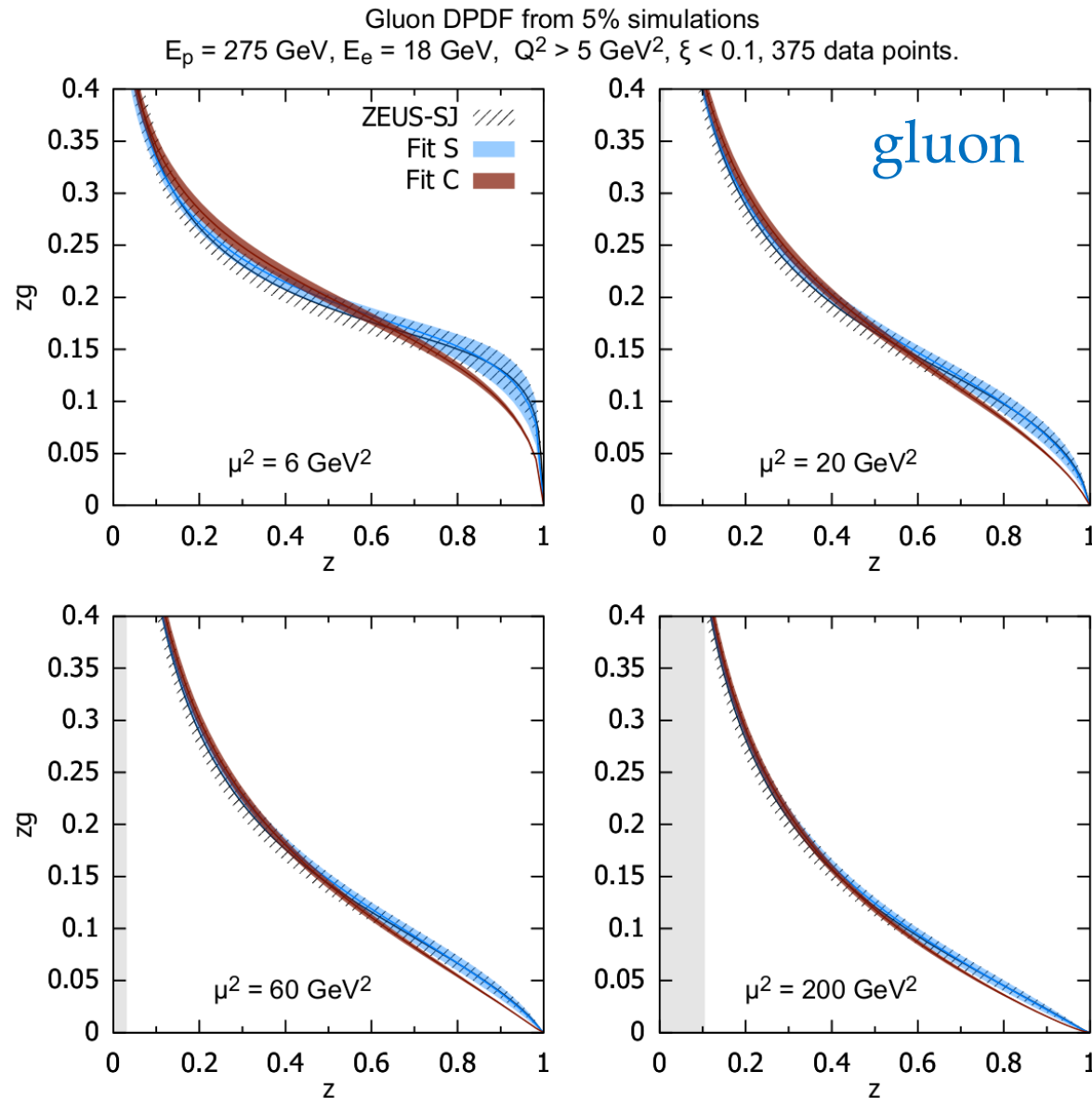
➤ grows with s

➤ shrinks $\sim \ln \frac{1}{\xi}$

Pseudo-rapidity distribution



Gluon DPDFs form C and S fits



Data selection

$$Q^2 > 5 \text{ GeV}^2$$

$$\xi < 0.1$$

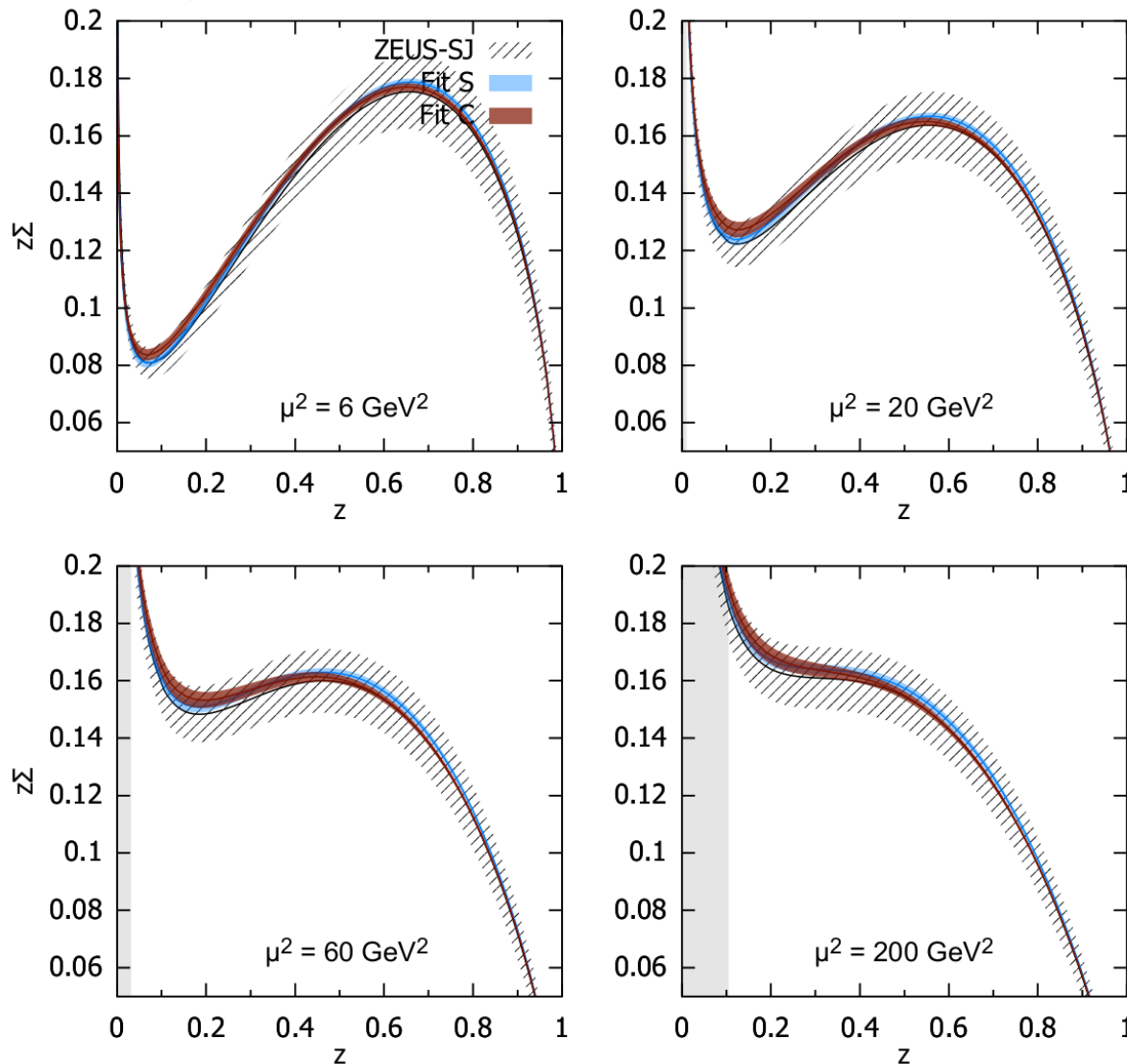
→ 375 data points

- ❑ Both C and S fits give $\chi^2 \approx 1$
- ❑ Fixing gluon from inclusive DDIS requires $x \lesssim 10^{-6}$
- ❑ Here $x > 10^{-4}$
- ❑ Some other, gluon-sensitive process needed
 — e.g. dijet production, dominated by BGF

Quark DPDFs form C and S fits

Quark DPDF from 5% simulations

$E_p = 275 \text{ GeV}$, $E_e = 18 \text{ GeV}$, $Q^2 > 5 \text{ GeV}^2$, $\xi < 0.1$, 375 data points.



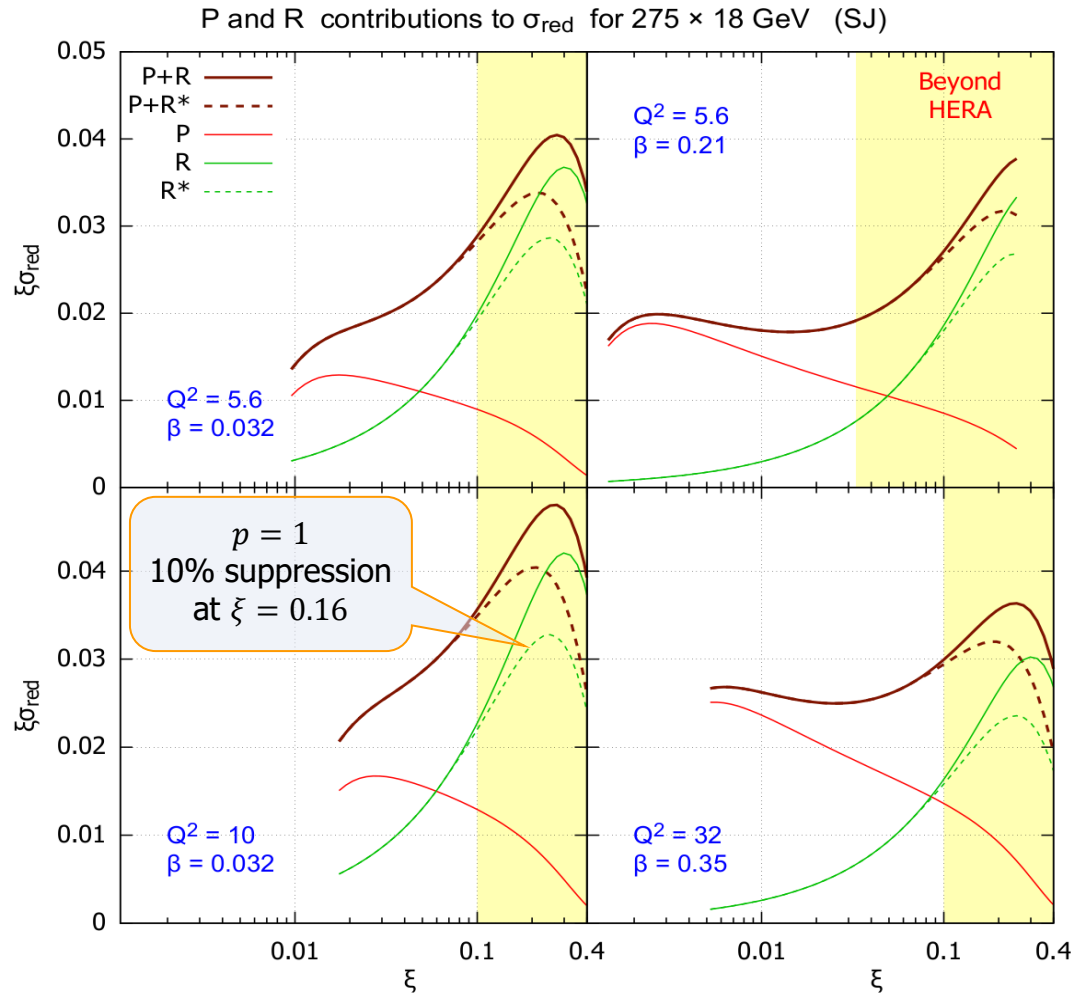
Data selection

$$Q^2 > 5 \text{ GeV}^2$$

$$\xi < 0.1$$

- As compared to HERA
- Higher accuracy
- More data points

Sensitivity to the Reggeon contribution to σ_{red}



Procedure

1. Suppress Reggeon by a factor

$$\mathbb{R}^* = \left(\frac{1-\xi}{1-\xi_0} \right)^p \mathbb{R} \text{ for } \xi > \xi_0 = 0.07,$$
2. Generate pseudo-data with nominal and modified \mathbb{R} contributions,
3. Fit DPDFs, using Reggeon flux

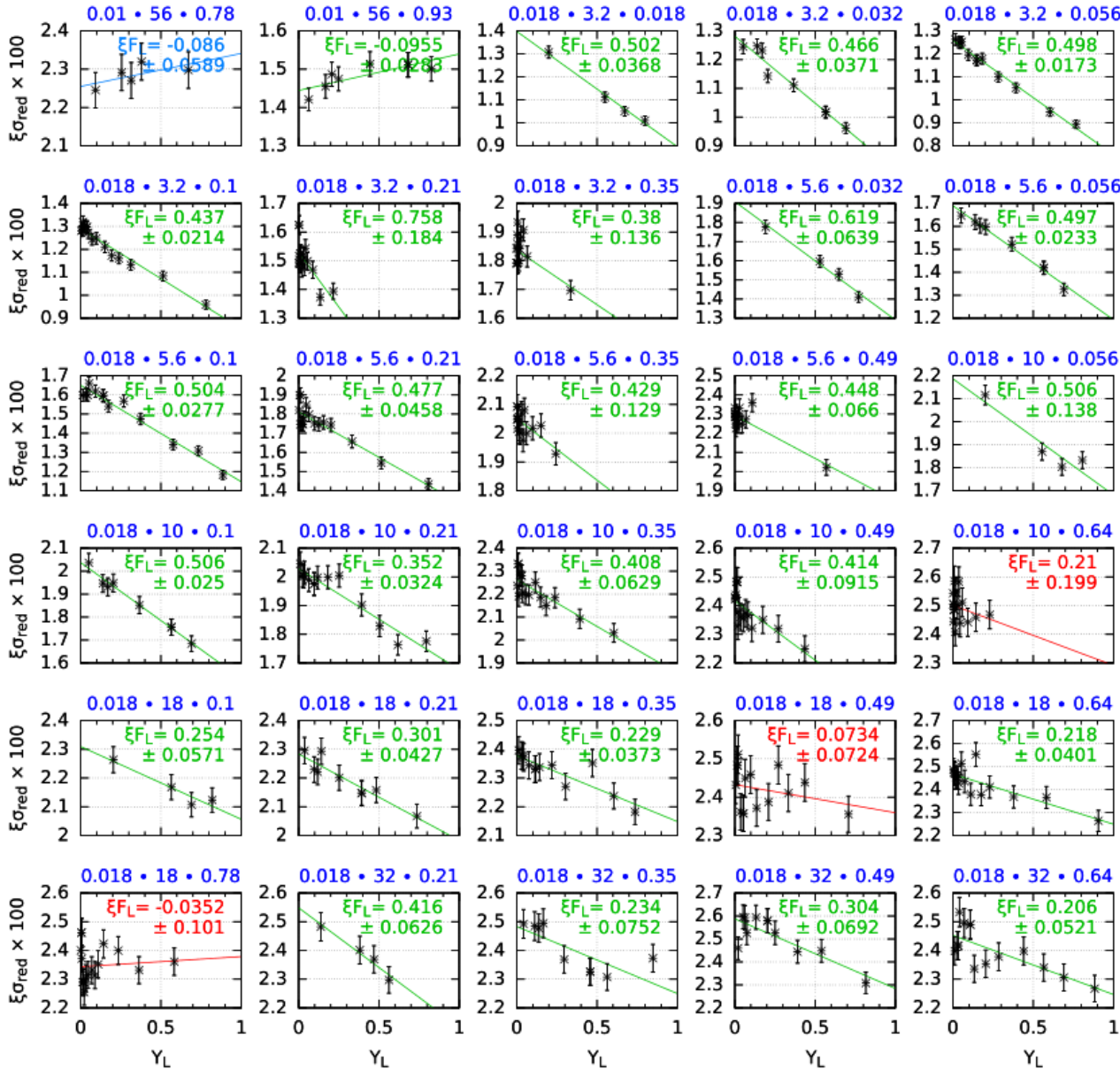
$$\varphi_R \propto \xi^{1-2\alpha_R} \text{ with } \alpha_R \text{ free.}$$

Results

- ❑ Fits to the unmodified \mathbb{R} result in $\chi^2 \approx 1$, as expected.
- ❑ Fits to \mathbb{R}^* suppressed by $\sim 10\%$ give $\chi^2 \approx 1.2$
 This excludes a simple power-law shape in ξ .

Data at $\xi > 0.3$ desired for the subleading exchange study.

Example of F_L fits



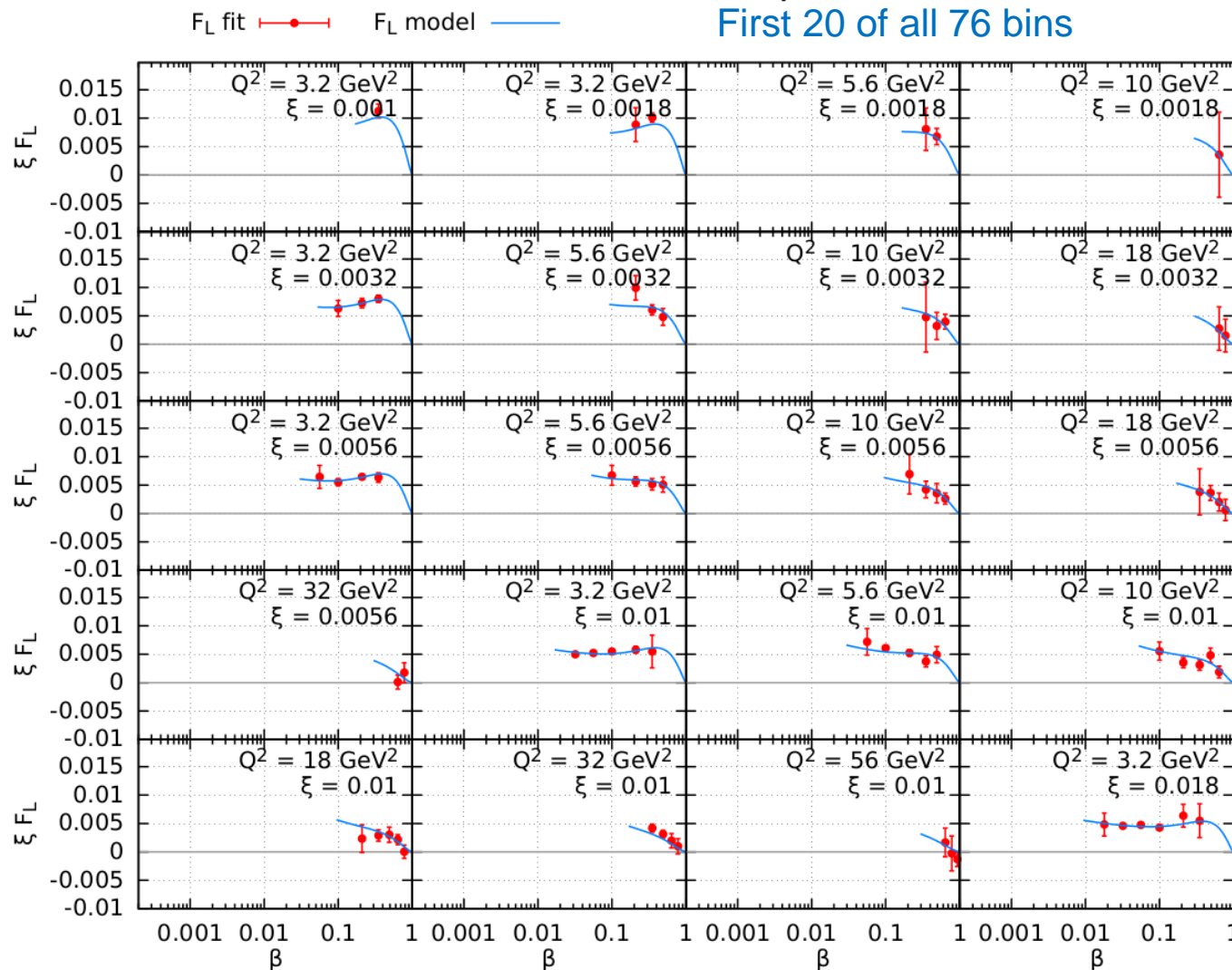
ξ, Q^2, β

$\delta_{\text{sys}} = 2\%$
 $L = 10 \text{ fb}^{-1}$

Simulated measurement of F_L vs. β in bins of (ξ, Q^2)

Example results of F_L fitted to $\sigma_{\text{red}} = F_2 - Y_L(y) F_L$

76 bins in (ξ, Q^2)



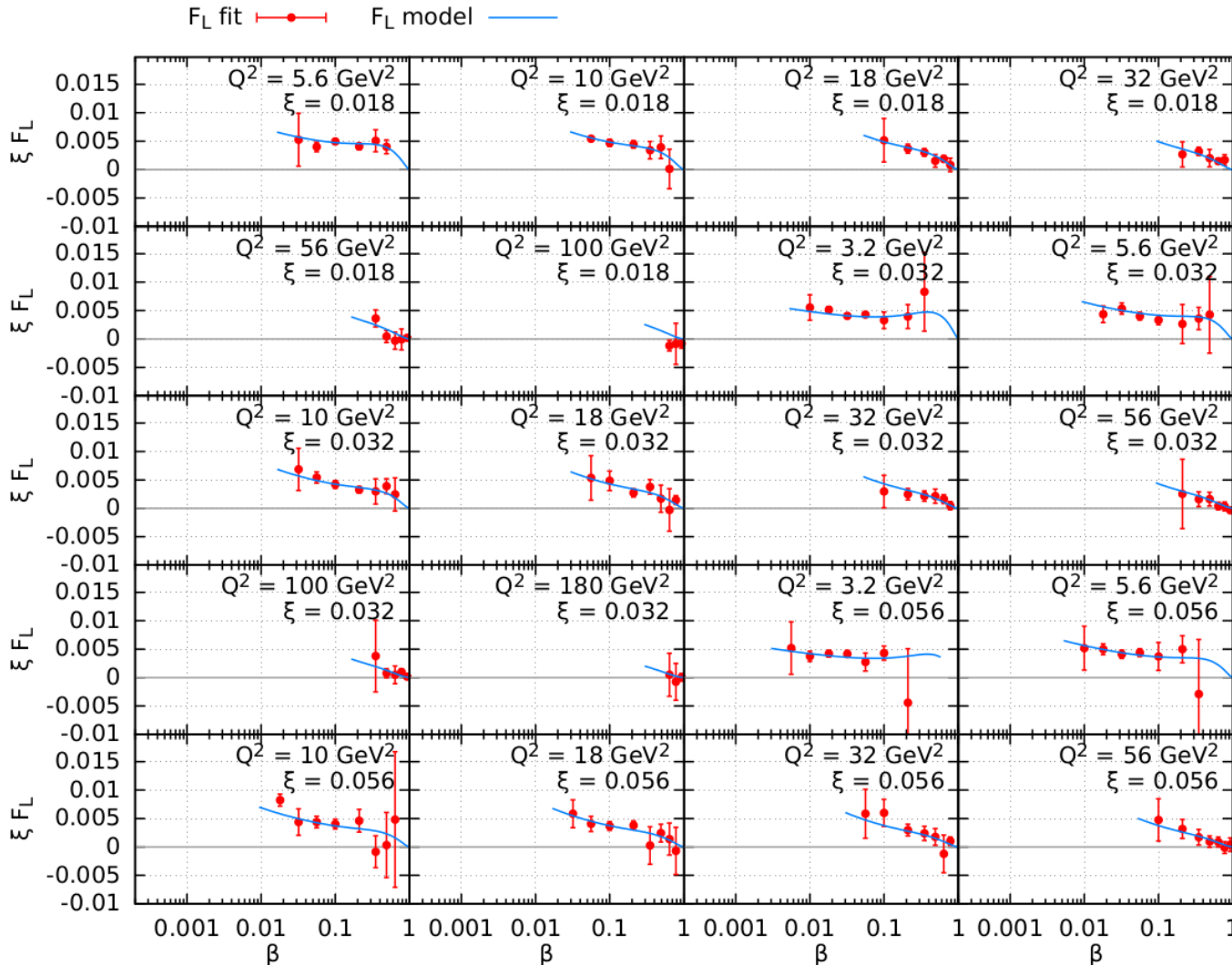
F_L fit \bullet
 F_L model —

Error bars
 correspond to the
 90% confidence interval.

A reliable
 measurement of
 $F_L^{D(3)}$ within the
 reach of EIC

Simulated measurement of F_L vs. β in bins of (ξ, Q^2) (cont.)

Example results of F_L fitted to $\sigma_{\text{red}} = F_2 - Y_L(y) F_L$



Next 20 of 76
bins in (ξ, Q^2)

F_L fit (red line with dots)
 F_L model (blue line)

Error bars
correspond to the
90% confidence interval.

A reliable
measurement of
 $F_L^{D(3)}$ within the
reach of EIC